



Office use only

dexus

# Hardship application form

## Dexus Core Infrastructure Fund

**Issuer and Responsible Entity:** Dexus Capital Funds Management Limited (ABN 15 159 557 721, AFSL 426455) referred to in this form as "the Responsible Entity", "Dexus", "we", or "us".

Before submitting this form, it is important that you read the Product Disclosure Statement (PDS) and any replacement or supplementary PDS of the fund for which you are making this application to make a hardship-based withdrawal. To ensure that you have the most up-to-date PDS please check with your financial adviser, visit [www.dexus.com/dcifinvest](http://www.dexus.com/dcifinvest) or call us on 1300 374 029.

Please use black pen and print in CAPITAL LETTERS and where appropriate. Please ensure this form is signed overleaf.

Note: This document can be used for investors seeking to make a hardship-based withdrawal from the Dexus Core Infrastructure Fund.

### Hardship Policy

#### INFORMATION - PLEASE READ

Please read this information carefully prior to completing the hardship statutory declaration and application form.

The Australian Securities & Investments Commission (ASIC) has granted relief to the Dexus Core Infrastructure Fund ('Fund') to provide an early withdrawal mechanism for investors where there is hardship, in preference to all other investors, by issuing ASIC Instrument 25-0133 (ASIC Instrument), which provides relief from the applicable sections of the Corporations Act.

The ASIC Instrument permits the Fund to pay withdrawal requests received from investors who, in the reasonable opinion of the Responsible Entity, are suffering or likely to suffer hardship.

Investors that qualify for hardship may be able to access some or all of their investment. To make a withdrawal based on hardship, investors (a person) must meet one of the criteria prescribed by the ASIC Instrument and there must be sufficient liquid assets available in the Fund. The categories where hardship withdrawals may be permitted include:

- Urgent financial hardship
- Unemployment
- Compassionate grounds
- Permanent incapacity

Accompanying documentation will be required to be submitted with a hardship application to support your claim.

#### Benefit amount

The minimum withdrawal amount is \$1,000 (subject to change at our discretion). If a withdrawal request is received which would result in you holding less than the minimum account balance as specified in the PDS and your hardship withdrawal meets the ASIC Instrument's criteria, we may redeem your entire investment.

The maximum withdrawal amount you can apply for under the ASIC Instrument in any calendar year is the lesser of:

- the amount required as a result of the hardship; and
- \$100,000.

You can make up to four hardship withdrawals in any calendar year. Indirect investors should contact their financial adviser, master trust or platform operator if they wish to make a withdrawal based on hardship.

#### Additional evidence

You must provide evidentiary documentation to support your application. The type of supporting documentation you may need to provide is dependent upon the hardship criteria you are applying under, as outlined in this document.

#### Statutory declaration

To apply for the withdrawal of your investment under the hardship policy, you are required to sign the Statutory Declaration which is part of this document. The Statutory Declaration requires you to attest to the truth and accuracy of the information that you have provided. This document forms part of the evidence that we will review in deciding whether to approve your application.

#### Further information

We may request further information from you after reviewing your application. It may not be possible for us to make a decision until all of the information and evidence sought has been provided. This may result in further time being required to complete the decision-making process.

## Privacy

The information collected from you in this form is for the purpose of your hardship application and will be used as outlined within the Privacy Notice below (refer to 'Privacy Statement') and in accordance with the Privacy Policy, which can be obtained online at [www.dexus.com/privacy-policy](http://www.dexus.com/privacy-policy) or by contacting us to request a copy.

## Privacy Statement

Your personal information is collected in this form by Dexus Capital Funds Management Limited (ABN 15 159 557 721) (AFSL 426455), the Responsible Entity of the Funds. The Responsible Entity is part of the Dexus Group. The information requested in this hardship application is required to assess and process your application. There are some instances where additional information will be required, and this will be clearly communicated at the time where needed. Some sensitive information (e.g. health information) may be collected as part of this process as it is required to assess your application. We only collect and use personal information that is reasonably necessary for this process. Your sensitive information will not be used for any other purpose without your consent or were required by law. If we do not collect all the required personal information, we may be unable to process your application. To enable us to provide this service to you and to comply with relevant laws, we may need to share your personal information with third parties, professional advisors where applicable, and other entities within the Dexus Group who supply administrative, financial or other relevant services. Some of these entities may be located overseas in regions including Asia Pacific and Europe. For more information about how we manage your personal information, your privacy rights or how to lodge a privacy complaint, please contact Client Services on 1300 374 029 or refer to our Privacy Policy at [www.dexus.com/privacy-policy](http://www.dexus.com/privacy-policy).

# Investor and Investment Details

## 1. Investor details

Please note if you have a financial adviser, we will contact them in the first instance.

Name of investor(s)

Contact Number

Email

## 2. Adviser details

Name of adviser

Email

Contact number

Adviser code

## 3. Investment details

**Direct Investors** - Please provide your Dexus Core Infrastructure Fund client number.

Client Number

**Platform Investors** (if applicable)

Name of platform through which you are invested

Platform - Client Number (in Dexus fund)

Investor - Account Number (in master trust or platform)

# Hardship criteria

There are four permitted circumstances for hardship relief in the table overleaf, please tick the criteria that applies to you, and see the relevant following section for information on documentation requirements. Please note that if any of these documents are not received by us we will be unable to proceed with your application. We may need to contact you for additional information before a final decision is made. We will not be liable for any delay in regard to these matters.

Category	Tick applicable	Description
Urgent financial hardship	<input type="checkbox"/>	To enable the investor to meet reasonable and immediate living expenses for themselves and/or their dependents.
Unemployment	<input type="checkbox"/>	Where the investor has not been in gainful employment for a period of at least three months and has no other means of financial support(except government assistance, such as unemployment benefits).
Compassionate grounds	The amount requested is needed for one or more of the following:	
	<input type="checkbox"/>	(a) help the investor or a dependent pay for medical costs (including transport costs) required to treat a life-threatening illness or injury, to alleviate acute or chronic pain, or to alleviate an acute or chronic mental disturbance where a registered medical practitioner has provided a certified statement confirming the medical condition is of this nature;
	<input type="checkbox"/>	(b) fund specific modifications to a principal place of residence or vehicle that are necessary to accommodate special needs of the investor or a dependent arising from a severe disability ;
	<input type="checkbox"/>	(c) assist a beneficiary of the estate of a deceased member with funeral and other expenses related to the death of the deceased member
	<input type="checkbox"/>	(d) assist with funeral and other expenses related to the death of a dependent of a person;
	<input type="checkbox"/>	(e) enable an investor to provide care for a person who is dying from a terminal illness, including home care;
	<input type="checkbox"/>	(f) prevent a mortgagee or lender from selling the investor's principal place of residence;
	<input type="checkbox"/>	(g) meet a binding financial obligation entered into by the investor before the Responsible Entity extended withdrawals to 365 days.
		where the person and, if applicable, their dependant (and in the case of (c) and (d), the estate of the deceased member or dependant as the case may be) do not otherwise have the financial capacity to meet the expense
Permanent incapacity	<input type="checkbox"/>	Where the investor has ceased gainful employment by reason of mental or physical ill-health and the Responsible Entity is satisfied that the investor is unlikely ever again to engage in gainful employment of the type for which the investor is reasonably qualified by education, training or experience.

### General - all to complete

Briefly explain the cause of your hardship, why you believe you satisfy the grounds for release and how the money will be used if released. Please attach additional pages if the space below is insufficient for your explanation.

### Evidence - all to complete

Hardship relief under the ASIC Instrument to pay withdrawal requests is only available if, in the reasonable opinion of the Responsible Entity, you are suffering or likely to suffer hardship.

Please provide appropriate documentation in order to support and evidence your hardship application. This may include invoices, quotes, copies of bills, bank statements, medical certificates, professional opinions or official documentation from a government agency.

Please note that where a withdrawal is sought on the basis of compassionate grounds, medical costs – the medical/transport costs, certificates confirming the medical condition must be obtained from two registered medical practitioners (one of whom must be a specialist).

If you cannot provide the supporting documentation or evidence, please state the reasons why you are unable to provide the documentation at present or within a reasonable timeframe.

We may request further information from you after reviewing your application. It may not be possible for us to make a decision until all of the information and evidence sought has been provided. This may result in further time being required to complete the decision making process.

### Payment details - all to complete

How much money do you wish to withdraw in connection with your hardship application?

\$

**Note:** The ASIC Instrument only allows four hardship withdrawals in any calendar year. The maximum you may withdraw under the ASIC Instrument in any calendar year is the lesser of the specific amount required as a result of the hardship or \$100,000.

# Statutory Declaration

All investors (or their agents/attorneys if applicable) must sign this declaration. We cannot process applications without the relevant signatures.

I/We wish to apply for withdrawal of units in the Dexus Core Infrastructure Fund (the Fund) based on hardship. By signing this application form, I/We:

- agree to information about me/us being collected, used and disclosed as referred to under 'Your Privacy' in the PDS;
- declare and agree that any information and documents that will be used for the purposes of this application (whether or not provided on or with this application) are complete and correct, and if they are about another person, have been provided with the consent of that person;
- acknowledge that it is a criminal offence to knowingly provide false or misleading information or documents in connection with this application.

I/We am/are experiencing the hardship outlined in the section titled 'Hardship criteria', and I do not have access to any assets (apart from my home) that could reasonably be used or sold to pay for the expenses arising from the hardship and that (with the exception of borrowing) I have made every effort to find alternative sources of funds to meet my immediate needs;

I/We am/are aware and understand that I/we can only apply for a hardship withdrawal as necessary to relieve the immediate hardship outlined in this declaration; I/we will use the money (if redeemed from this investment) for the purpose for which it has been redeemed;

I/We am/are either:

- 1 the legal owner(s) of units recorded on the register under my/our unitholder number, or
- 2 in the case of the executor of the estate, the executor or beneficiary(s) of the estate of the legal owner(s) of the units, and wish to withdraw all or part of my/our unitholding as outlined in this section, or
- 3 in the case of investors that invest through a master trust or platform, the beneficial owner(s) of units, and wish to withdraw all or part of my/our unitholding as outlined in this section

I believe that the statements in this declaration are true in every particular, and I understand that a person who intentionally makes a false statement in a statutory declaration is guilty of an offence under section 11 of the *Statutory Declarations Act 1959*, the punishment for which is imprisonment for a term of 4 years.

## Signatures

### Signatory 1

Full name

Address

Email address

Telephone number

Occupation

Signing as (please tick and specify position):

- |  |   |                                   |
|--|---|-----------------------------------|
| <input type="checkbox"/> Individual  | <input type="checkbox"/> Executor       | <input type="checkbox"/> Trustee  |
| <input type="checkbox"/> Director  | <input type="checkbox"/> Joint investor | <input type="checkbox"/> Partner  |
| <input type="checkbox"/> Sole director   | <input type="checkbox"/> Agent          | <input type="checkbox"/> Attorney |
| <input type="checkbox"/> Representative of association/co-operative/ government body<br>– please specify position (e.g chairman) |   |                                   |

Signature

Date (dd/mm/yyyy)

### Signatory 2

Full name

Address

Email address

Telephone number

Occupation

Signing as (please tick and specify position):

- |  |   |                                   |
|--|---|-----------------------------------|
| <input type="checkbox"/> Individual  | <input type="checkbox"/> Executor       | <input type="checkbox"/> Trustee  |
| <input type="checkbox"/> Director  | <input type="checkbox"/> Joint investor | <input type="checkbox"/> Partner  |
| <input type="checkbox"/> Sole director   | <input type="checkbox"/> Agent          | <input type="checkbox"/> Attorney |
| <input type="checkbox"/> Representative of association/co-operative/ government body<br>– please specify position (e.g chairman) |   |                                   |

Signature

Date (dd/mm/yyyy)

### Signature of an approved witness

(who must appear on the below statutory declaration signatory list)

Full legal name

Qualification

Address

Email address

Telephone number

Signature

Declared at (place)

Date (dd/mm/yyyy)

### Signature of an approved witness

(who must appear on the below statutory declaration signatory list)

Full legal name

Qualification

Address

Email address

Telephone number

Signature

Declared at (place)

Date (dd/mm/yyyy)

# Statutory Declaration Signatory List

Under the Statutory Declarations Act 1959 a statutory declaration must be made in the presence of one of the following:

- A person who is currently licensed or registered under a law to practise in one of the following occupations: Chiropractor, Dentist, Legal practitioner, Medical practitioner, Nurse, Optometrist, Patent attorney, Pharmacist, Physiotherapist, Psychologist, Trade marks attorney, Veterinary surgeon.
- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described).
- A person from the following list:
  - Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
  - Australian Consular Officer or Australian Diplomatic Officer (within the meaning of the Consular Fees Act 1955)
  - Bailiff
  - Bank officer, building society officer or credit union officer with 5 or more continuous years of service
  - Chief executive officer of a Commonwealth court, clerk of a court, Judge of a court, Magistrate or Master of a court, Registrar or Deputy Registrar of a court
  - Commissioner for Affidavits or Commissioner for Declarations
  - Employee of the Australian Trade Commission or employee of the Commonwealth who is in a country or place outside Australia; and authorised under paragraph 3(d) or 3(c) of the Consular Fees Act 1955; and exercising his or her function in that place
  - Finance company officer with 5 or more years of continuous service
  - Justice of the Peace
  - Marriage celebrant or Minister of religion registered under Subdivision C or Subdivision A of Division 1 of Part IV of the Marriage Act 1961
  - Member of Chartered Secretaries Australia, Member of Engineers Australia (other than at the grade of student), Member of the Association of Taxation and Management Accountants, Member of the Australasian Institute of Mining and Metallurgy, Fellow of the National Tax Accountants' Association, Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the National Institute of Accountants
  - Member of the Australian Defence Force who is: an officer; or a non-commissioned officer within the meaning of the Defence Force Discipline Act 1982 with 5 or more years of continuous service; or a warrant officer within the meaning of that Act,
  - Member of: the Parliament of the Commonwealth; or the Parliament of a State; or a Territory legislature; or a local government authority of a State or Territory
  - Notary public
  - Permanent employee of the Australian Postal Corporation, the Commonwealth or a Commonwealth authority, a State or Territory authority, or a local government authority not specified in this list, with 5 or more years of continuous service
  - Person before whom a statutory declaration may be made under the law of the State or Territory in which the declaration is made
  - Police officer
  - Senior Executive Service employee of: the Commonwealth or a Commonwealth authority; or a State or Territory or a State or Territory authority
  - Sheriff or Sheriff's officer
  - Teacher employed on a full-time basis at a school or tertiary education institution
  - Holder of a statutory office not specified in another item in this list.

## Application form checklist

The investor has:

- Completed the investor details section
- Completed the section relevant to the type of hardship
- Signed the statutory declaration before an authorised witness
- Attached the relevant supporting documents

## Submitting your application form

Please post or email your form together with supporting documentation to:

Dexus Capital Funds Management Limited  
GPO Box 3993  
Sydney NSW 2001  
Email: [dexus@boardroomlimited.com.au](mailto:dexus@boardroomlimited.com.au)

## CONTACT DETAILS

### **Mailing address**

Boardroom Pty Limited  
GPO Box 3993  
Sydney NSW 2001

### **Investor Services and Unit Registry**

Boardroom Pty Limited  
GPO Box 3993  
Sydney NSW 2001  
T: (in Australia) 1300 374 029  
T: (International) +61 2 9290 9600  
E: [dexus@boardroomlimited.com.au](mailto:dexus@boardroomlimited.com.au)  
W: [www.dexus.com](http://www.dexus.com)