

US TAX STATUS DECLARATION FORM - INDIVIDUALS

WHEN TO USE THIS FORM

Use this form to provide the information we need to meet our legal obligations (including those under the United States Foreign Account Tax Compliance Act (FATCA)).

This form is required for individuals who have not provided the required information to establish their United States (US) tax status or have been identified as a potential US taxpayer (eg they have a US address, phone number, place of birth, citizenship or dealings with the US).

This form must be completed in order to certify that:

- the individual **IS** a US citizen or resident for tax purposes, or
- the individual **IS NOT** a US citizen or resident for tax purposes (additional documentation is required to support this certification).

Please note: The individual may be treated as being a US taxpayer if the requested information is not provided.

SECTION 1 - PERSONAL DETAILS

Surname

Date of birth (dd/mm/yyyy)

Full given names

Residential address (PO Box is **not** acceptable)

Country

SECTION 2 - US TAX STATUS

Is the individual a US citizen or resident of the US for tax purposes?

☐

Yes - If '**Yes**', provide the individual's US Taxpayer Identification Number (TIN)

☐

No - If '**No**', please go to Section 3

SECTION 3 - SUPPORTING EVIDENCE REQUIRED

If the individual is not a US citizen or resident for tax purposes, additional identification documentation is required to support this status (as they have been identified as having a US address, phone number, place of birth, citizenship or dealings with the US).

Tick the category that applies to you:

☐ Born outside of the US, please complete 3.1 in this section and then go to Section 4 to finalise the form.

☐ Born in the US, please complete 3.2 in this section and then go to Section 4 to finalise the form.

3.1 Born outside the US

Tick which documents* you are providing

☐ A copy of a passport, birth certificate or travel document issued by a non-US government, the United Nations or an agency of the United Nations, or

☐ A copy of a citizenship certificate issued by a non-US government, or

☐ A copy of a driver's licence issued by a non-US government agency

3.2 Born in the US

Select **ONE** valid option from this section

☐ A copy of a passport or travel document issued by a non-US government, the United Nations or an agency of the United Nations*, or

☐ A copy of a citizenship certificate issued by a non-US government*

AND

☐ A copy of your certificate of loss of nationality of the United States, or

☐ A reasonable explanation as to why you do not hold a certification of loss of nationality, or

☐ A reasonable explanation as to why you did not obtain US citizenship at birth

If you selected an option above that requires an explanation, please provide the written explanation here:

* Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.


SECTION 4 - DECLARATION AND SIGNATURE

Attach a legible copy of the documentation (and any required translation)

The signatory must be the same as the application or subscription form which is being submitted.

By completing and signing this form, I:

- declare that the information provided in this form is true and correct, and
- agree to notify Dexu as soon as possible when my tax residency changes.

Title	Surname	First name
<input type="text"/>	<input type="text"/>	<input type="text"/>
Signing as (please tick):		
<input type="checkbox"/> Individual	<input type="checkbox"/> Sole trader	<input type="checkbox"/> Joint investor
<input type="checkbox"/> Agent	<input type="checkbox"/> Attorney	
Signature	Date (dd/mm/yyyy)	
<div></div>	<input type="text"/>	