

ENTITY TAX RESIDENCY SELF-CERTIFICATION FORM

We acknowledge that where an account holder is located outside of Australia, you may be legally obliged to pass on the information in this form and other financial information with respect to our financial accounts to the Australian Taxation Office (ATO) and they may exchange this information with tax authorities of another jurisdiction or jurisdictions pursuant to intergovernmental agreements to exchange financial account information.

We undertake to notify you if any information in this declaration relevant to the Account Holder's Tax Residency changes and to provide an updated self-certification.

PART 1 - IDENTIFICATION OF ACCOUNT HOLDER

Entity name

Jurisdiction of incorporation

Registered address

Country

PART 2 - ENTITY TYPE

Account Holder's status:

1. Financial Institution - Investment Entity

a. an Investment Entity located in a Non-Participating CRS Jurisdiction and managed by another Financial Institution

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b. Other Investment Entity

☐

2. Financial Institution - Depository Institution, Custodial Institution or Specified Insurance Company

☐

The Financial Institution's Global Intermediary Identification Number (GIIN), obtained for FATCA purposes is:

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3. Active NFE - a corporation, the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation

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The established securities market on which the corporation is regularly traded is:

The Account Holder is a Related Entity of the following regularly traded corporation:

4. Active NFE - other than 3. above (for example, a startup NFE or a non-profit NFE)

☐

5. Passive NFE

☐

Where the Account Holder is a Passive NFE or a Financial Institution Type 1(a) above, the names of the Controlling Person(s) of the Account Holder are:

See Appendix 1 - Controlling Persons

PART 3 - COUNTRY/JURISDICTION OF RESIDENCE FOR TAX PURPOSES AND RELATED TAXPAYER IDENTIFICATION NUMBER (TIN)

Country of tax residency	TIN
1 <div></div>	<div></div>
2 <div></div>	<div></div>
3 <div></div>	<div></div>

The entity Account Holder is unable to obtain a TIN for the following reasons:



1

2

3

PART 4 - DECLARATION AND SIGNATURES

We declare (as authorised signatories of the entity) that the information provided in this Self-Certification Form is, to the best of our knowledge and belief, accurate and complete.

Authorised Signatory	Authorised Signatory
<div></div>	<div></div>
Print name <div></div>	Print name <div></div>
Capacity in which declaration is made <div></div>	Capacity in which declaration is made <div></div>
Date (dd/mm/yyyy) <div></div>	Date (dd/mm/yyyy) <div></div>

APPENDIX 1 - CONTROLLING PERSONS OF PASSIVE NFE/INVESTMENT ENTITY MANAGED BY ANOTHER ENTITY IN A NON-PARTICIPATING JURISDICTION

First controlling person

Name	Address	
<input type="text"/>	<input type="text"/>	
Country of tax residency	TIN	City/Town of birth
<input type="text"/>	<input type="text"/>	<input type="text"/>
Country of birth	Date of birth (dd/mm/yyyy)	Controlling person type (select one from 'a' to 'm' below)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Second controlling person

Name	Address	
<input type="text"/>	<input type="text"/>	
Country of tax residency	TIN	City/Town of birth
<input type="text"/>	<input type="text"/>	<input type="text"/>
Country of birth	Date of birth (dd/mm/yyyy)	Controlling person type (select one from 'a' to 'm' below)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Third controlling person

Name	Address	
<input type="text"/>	<input type="text"/>	
Country of tax residency	TIN	City/Town of birth
<input type="text"/>	<input type="text"/>	<input type="text"/>
Country of birth	Date of birth (dd/mm/yyyy)	Controlling person type (select one from 'a' to 'm' below)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Fourth controlling person

Name	Address	
<input type="text"/>	<input type="text"/>	
Country of tax residency	TIN	City/Town of birth
<input type="text"/>	<input type="text"/>	<input type="text"/>
Country of birth	Date of birth (dd/mm/yyyy)	Controlling person type (select one from 'a' to 'm' below)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Controlling Person Types

- | | |
|--|---|
| a. Controlling Person of a legal person - control by ownership | h. Controlling Person of a trust - other |
| b. Controlling Person of a legal person - control by other means | i. Controlling Person of a legal arrangement (non-trust) - settlor-equivalent |
| c. Controlling Person of a legal person - senior managing official | j. Controlling Person of a legal arrangement (non-trust) - trustee-equivalent |
| d. Controlling Person of a trust - settlor | k. Controlling Person of a legal arrangement (non-trust) - protector-equivalent |
| e. Controlling Person of a trust - trustee | l. Controlling Person of a legal arrangement (non-trust) - beneficiary-equivalent |
| f. Controlling Person of a trust - protector | m. Controlling Person of a legal arrangement (non-trust) - other-equivalent |
| g. Controlling Person of a trust - beneficiary | |